Liquid Capital Statement

for the month of **31-OCT-17**

of M/s. MGM Securities (Pvt) Ltd

Submission Date 14-NOV-2017 17:30:03

Page 1 Of 3

respective securities whichever is higher. Ill Funities (1,0%) of carginary value. Ill Subscription money against investment in IPOoffer for Sale. Amount paid as subscription money possible of that shee here pot been altoted of ale not included in the investments of securities bother that are in Block. Freeze or IPodge status as on reporting date. (July 19, 201). Provided that 100% halocut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing Illouse). 1.0 Investment in associated companies favor investment in securities and the applicable (Valugas 15, 501). 1.1 If Illoud 27% or Valio or each securities as Computed by the Securities Exchange for respective securities which which were also as a securities of the sec	s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
13 Invastment in Coul Securities 13 Invastment in Coul Securities 13 Invastment in Coul Securities 15 Invastment in Coul Securities 16 Invastment in Coul Securities 17 Stort of this belance shoet value, in this case of tenue from 1-2 years 18 Stort of the belance shoet value, in this case of tenue from 1-2 years 19 Stort of the belance shoet value, in this case of tenue from 1-2 years 19 Stort of the belance shoet value, in this case of tenue from 1-2 years 19 Stort of the belance shoet value, in the case of tenue from 1-2 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance theet value, in the case of tenue work 19 years 19 Stort the belance the tenue work 19 years 19 Stort the belance the tenue work 19 Stort the 19 years 19 Stort the work 19 Stort the belance the tenue work 19 years 19 Stort the work 19 Stort the belance the tenue work 19 years 19 Stort the work 19 Stort the belance the tenue work 19 years 19 Stort the work 19 Stort the belance the 19 Stort the 1	1	Assets			
11.2 Interaction of Good, Securities. 11.3 Investment in Good, Securities. 12.3 Investment in Good, Securities. 12.5 of the Interaction of Interaction of Interaction of Interaction (Interaction of Interaction (Interaction of Interaction of Intera	1.1	Property & Equipment	10,146,253	10,146,253	
If Island than: It is not the balance owner value in the case of feature upto 1 year If Island than: It is not the balance owner value, in the case of feature upto 1 year If Island than: If Island than the value is the case of feature upto 1 year If Island than the value is the case of feature upto 1 year If Island than: If Island than the value is the case of feature on the than years. If Island than the value is the case of feature from than years. If Island than the value is the case of feature from 1-2 years. If Island than the value is the case of feature from 1-2 years. If Island this island than the case of feature from 1-2 years. If Island this island than the value island than the case of feature from 1-2 years. If Island this island than the case of feature from 1-2 years. If Island this island than the value of the case of feature from 1-2 years. If Island this island than the value of the case of feature from 1-2 years. If Island this island than the value of the case of feature from 1-2 years. If Island this island than the value of the case of feature from 1-2 years. If Island this island than the value of the case of feature from 1-2 years. If Island this island the case of the case of feature from 1-2 years. If Island this island the case of feature from 1-2 years. If Island this island the case of feature from 1-2 years. If Island this island the case of feature from 1-2 years. If Island this island the case of feature from 1-2 years. If Island this island the case of feature from 1-2 years. If Island this		Intangible Assets	321,550	321,550	
Tillsfort Than:					
List of the balance sheet value in the case of tenue upto 1 year. 1. 175 of the balance sheet value, in the case of tenue upto 1.3 years. If under the balance sheet value, in the case of tenue upto 1.3 years. If under the balance sheet value, in the case of tenue upto 1.3 years. If validated ham. If all the off the balance sheet value, in the case of tenue upto 1.3 years. It is for the balance sheet value, in the case of tenue upto 1.3 years. It is for the balance sheet value, in the case of tenue upto 1.3 years. It is for the balance sheet value, in the case of tenue upto 1.3 years. It is for the balance sheet value, in the case of tenue upto 1.3 years. It is for the balance sheet value, in the case of tenue upto 1.3 years. If you have the balance sheet value, in the case of tenue upto 1.3 years. If you have the balance sheet value, in the case of tenue upto 1.3 years. If you have the balance sheet value, in the case of tenue of more than 1.3 years. If you have the your day the case of the case of tenue of the case of the upto 1.3 years. If you have the your day of the case of the upto 1.3 years. If you have the your day of the case of the upto 1.3 years. If you have the your day of the end your day of the end you have the your day of the end you have the your day of the end your day of the end your day of the your day					
1.2% of the habitines sheet value, in the case of feature from: than's years.					
Figure F		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.0% of the balance sheet value in the case of feetine upto 1 years.					
iii 15% of the balance sheet value, in the case of ferum of more than 3 yeas. In Investment in Equity Securities In Flate 15% or VAR of each securities on the cutoff date as computed by the Securities Lichange for expective securities withchese is higher. It is face to the securities withchese is higher. It is subcappilion money against investment in IPOMFer for Sale Amount paid as subcappilion money provided that these have not been arbitled or as not included in the Investment of Securities beder. In 100% Halacut shall be applied to Value of Investment in any asset including shares of listed securities which are in Block, freeze or Hedge status as on repeting date. (Liv) 19, 2017) Provided that 100% halacut shall not be applied in case of Investment in the Power of Securities South as percentage of Power of Securities South as percentage of Investment in associated on Securities South as percentage of Investment in securities so the Amount as provided in Securities					
Investment in Equity Securities		ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
If flated 15% or Valle of each securities witherwest shipher expective securities witherwest shipher ii flates securities witherwest shipher iii flates securities witherwest shipher iii subscription money against investment in IPOUTor for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities belter that are in Block, Freeze or Prideg status as on reporting date. (Uly 19, 2011) Provided that Investment in alloted or are not included in the investments of securities belter that are in Block, Freeze or Prideg status as on reporting date. (Uly 19, 2011) Provided that 10% haircut shall not be applied in case of investment in those securities that are in Block, Freeze or Prideg status as on reporting date. (Uly 19, 2011) Provided that 10% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Banks against short Irem friancing stangements. In such case, the haircut as provided in if a provided of the status of the securities of the securi	1.5				
respective securities whichever is higher. Ill Funities (1,0%) of carginary value. Ill Subscription money against investment in IPOoffer for Sale. Amount paid as subscription money possible of that shee here pot been altoted of ale not included in the investments of securities bother that are in Block. Freeze or IPodge status as on reporting date. (July 19, 201). Provided that 100% halocut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Illouse against Margin Financing Illouse). 1.0 Investment in associated companies favor investment in securities and the applicable (Valugas 15, 501). 1.1 If Illoud 27% or Valio or each securities as Computed by the Securities Exchange for respective securities which which were also as a securities of the sec			1,823,850	273,577	1,550,273
III Subscription money against investment in POOrfer for Sale. Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities brokes.		respective securities whichever is higher.	, ,	·	
povided that shares have not been alloted or are not included in the investments of securities broker. In 1008 Indicates half be expliced to Value of investment in any asset including shares of listed securities that are in Block, Fleever of Pledge shatus as on reporting date. (July 19, 2017) Provided that 1009 halfout shall not be applied in case of investment in those securities which are provided in a securities shall not be applied in case of investment in those securities which are included that 1009 halfout shall not be applied in case of investment in subdicities of including the securities and securities shall be applicable (August 25, 2017) 1.0 Investment in subdicities I firsted 20% or VaR of each securities as computed by the Securities Exchange for respective securities which have in higher in the securities and the securities which have in higher in the securities and the securities which have in higher in the securities and the securities and the securities of the securities and the securities of the securities of the securities and the securities of the securities and the securities of the securities and the securities of the se					
that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock bickhange (Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Iom financing drangements in such cases, the haircut as provided in schedule ill of the Regulations in respect of Investment in securities shall be applicable (August 25, 2817) 1.6. 1.7. 1.7. 1.7. 1.8. 1.8. 1.8. 1.8. 1.9. 1.9. 1.9. 1.9		provided that shares have not been alloted or are not included in the investments of securities broker.			
Provided that 100% haltcut shall not be applied in case of investment in those securities which are Pledged in favor of Bonks against Short Term financing arrangements in such cases, the haltcut as provided in shall be applicable (august 25, 2017). 1.10 Investment in absociated companies/underfaking in the securities shall be applicable (august 25, 2017). 1.11 Investment in associated companies/underfaking in the securities and provided in shall be applicable (august 25, 2017). 1.12 Investment in associated companies/underfaking in the securities and provided in shall be applicable (august 25, 2017). 1.13 Investment in associated companies/underfaking in the securities are companies to the securities and shall be applicable (august 25, 2017). 1.14 Investment in associated companies/underfaking in the securities and shall be applicable (august 25, 2017). 1.15 Investment in associated companies/underfaking in the securities and shall be applicable (august 25, 2017). 1.16 Investment in a securities are companies and shall be applied to the securities which can be applied to the securities of the securities and shall be applied to the securities of the securities and shall be applied to the securities and shall not be included in the investments. 1.16 Investments and shall not be included in the investments. 1.17 Investment in a securities are against the securities and and but for repayment within 12 months 9 8,753 0 96,753 0 96,753 ii. Receivables other than trade receivables. 1.18 Investment in a securities are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based halacut. (ii) cash deposited as collateral by the finance (iii) make twide of any securities below the securities beld in the blocked account after applying VAR based halacut. (ii) cash deposited as collateral by the finance					
Piedged in favor of Stock Exchange (Clearing House against Margin Financing requirements or piedged in favor of Banks against Shot I term financing arrangements. In such cases, the haticut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017). Investment in associated companies/undertaking I. If listed 20% or Van 6 ach securities as computed by the Securites Exchange for respective securities whichever is higher. I. If listed 20% or Van 6 ach securities as computed by the Securities Exchange for respective securities whichever is higher. I. If unitsed, 100% of neal value. I. Statutory or regulatory deposits/asic deposits with the exchanges, clearing house or central depository (200, 200, 200, 200, 200, 200, 200, 200					
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies/undertaking I.I ill study of each securities as computed by the Securities Exchange for respective securities whichever is higher. 1.8 Is study or regulatory deposits/basic deposits with the exchanges, clearing house or central depository in the study of the study. 1.9 Waryin deposits with exchange and clearing house. 1.9 Waryin deposits with exchange and clearing house. 1.0 Waryin deposits with exchange and clearing house. 1.1 Accrued interest, portly or make-up on amounts placed with financial institutions or debt securities 1.1 Accrued interest, portly or make-up on amounts placed with financial institutions or debt securities 1.1 Dividends receivables. 1.1 Amounts receivable against Report financial. 1.1 Amounts receivable in the investments. 1.1 Excelevables other than trade receivables. 1.1 Excelevables from clearing house or securities exchange(s) 1. 100 Students or the study of securities exchange(s) 1. 100 Students or the study of securities and trading of securities in all or investments. 1.1 Receivables on entitlements against trading of securities held in the investments. 1.1 Receivables from clearing house or securities exchange(s) 1. 100 Students or exclusive and applying Vall State and securities held in the investments. 1. 1 Receivables from clearing house or securities exchange in makes including MIM gains. 1. 1 Receivables on entitlements against trading of securities held in the investments. 1. 1 Receivables from clearing house or securities accounts of the makes including MIM gains. 1. 1 Receivables from clearing house or securities with a securities held in the investments. 1. 1 Receivables from clearing house or securities with a securities held in the investments. 1. 1 R		Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
Investment in ausbociated companies/undertaking					
I. If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher. II. If unisted, 100% of net value. II. Statutoy or regulatory deposits shall only open shall be received the exchanges, clearing house or central depository 1,500,000 1,500,000 or any other entity. II. Obe post with authorized intermediary against borrowed securities under St.B. 13,500,000 0 13,500,000 or any other entity. III. Other deposits and prepayments 2,369,049 2,	1.6				
whichever is higher. iii If unlisted. 100% of net value. 1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposits with exchange and clearing house. 1.11 Other deposits and prepayments. 1.12 Accuted interest, porfit or mark-up on amounts placed with financial institutions or debt securities etc. (kii) 1.12 Accuted interest, porfit or mark-up on amounts placed with financial institutions or debt securities etc. (kiii) 1.13 Iolividends receivables. 1.14 Amount succeivable against Repo financing. 1.15 In short ferm Loan 16 Employees Loans are Secured and Due forrepayment within 12 months. 1.16 Receivables of Lains other than Index excelvables. 1.17 In the Interest of Calaring house or securities exchange(s) 1.18 Receivables from clearing house or securities exchange(s) 1.19 Receivables or clearing house or securities in all markets including MtM gains. 1.19 Receivables or extilements against margin financing, the aggregate if (f) value of securities held in the blocked account ster applying VAR based infancut. (ii) cash deposited as collateral by the financee (iii) in case receivables are against margin financing, 5% of the net balance sheet value. 1.10 In case receivables are against margin financing. The aggregate if (f) value of securities held in the blocked account after applying VAR based haircut. (ii) cash deposited as collateral by the financee (iii) in case receivables are against margin financing, the aggregate if (f) value of securities held in the blocked account after applying VAR based haircut. 1.10 In case receivables are against margin financing. The aggregate if (f) value of securities held in the blocked account after applying VAR based haircut. 1.11 In case receivables are against succeivables are organications. 1.12 In case of the trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1.13 In case of the trade	1.7				
ii. If unlisted, 100% of net value 1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under S.B. 1.11 Other deposits and prepayments. 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 Dividends receivables. 1.14 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.15 Expect of markup accrued on loans to directors, subsidiaries and other related parties 1.16 Armounts receivable against Repo financing. Amounts receivable against Repo financing. Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 Expect fermion to imployees Loans are Secured and Due for repayment within 12 months 1.16 Receivables other than trade receivables 1.17 Receivables from clearing house or securities exchange(s) 1.18 Receivables from clearing house or securities exchange(s) 1.19 Receivables from clearing house or securities in all markets including MfM gains. 1.19 Receivables from customers 1.10 Receivables from customers 1.11 In case receivables are against trading of securities in all markets including MfM gains. 1.11 Receivables from customers 1.12 In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haricut. (ii) cash deposited as collateral after applying VAR based haricut. 1.11 In case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1.12 In case receivables are against strading of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securit					
or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (NII) 1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing. 1.15 Amounts receivable against Repo financing. 1.16 Amounts receivable against Repo financing. 1.17 Amounts receivable against Repo financing. 1.18 Amounts receivable against Repo financing. 1.19 Amounts receivable against Repo financing. 1.10 Amounts receivable against Repo financing. 1.11 Amounts receivable against Repo financing. 1.12 Amounts receivable against Repo financing. 1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing. 1.15 Is his fire fire loan to Employees: Loans are Secured and Due for repayment within 12 months. 1.16 Receivables other than trade receivables. 1.17 Receivables from clearing house or securities exchange(s). 1.18 Receivables from clearing house or securities exchange(s). 1.19 Amounts receivable and though a securities exchange (s). 1.10 Amounts receivables from clearing house or securities exchange(s). 1.10 Amounts receivables from customers. 1.11 Case receivables are against trading of securities in all markets including MIM gains. 1.12 Receivables from customers. 1.10 Amounts receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based halicut, (ii) cash deposited as collateral byte principle value of the financee (iii) market value of any securities deposited as collateral after applying VAR based halicut, iii. Incase receivables are against surgin trading, 5% of the net balance sheet value. 1.10 Amount after deducting halicut 1.10 Amount after deducting halicut 1.11 Incase receivables are against sucurities borrowings under SLB, the amount paid to NCCPL as collateral byte prophyring VAR based halicuts,			678,450	678,450	
1.10 Deposit with authorized intermediary against browed securities under SLB. 2,369,049 2,369	1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository			
1.10 Deposit with authorized intermediany against borrowed securities under SLB. 2,369,049 2,389,049 2,389,049 2,189,049 2,3	1.0		13 500 000	0	13 500 000
1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (NII) 100% in respect of mark-up accrued on loans to directors, subsidiaries and other related parties 1.13 Dividends receivables against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments) 1.15 1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 96,753 0 96,753 0 96,753 1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 96,753 0 96,753 0 96,753 1. Receivables other than trade receivables 2,542,008 2,54			10,000,000		10,000,000
etc.(NII) 10% in respect of markup accrued on loans to directors, subsidiaries and other related parties 1.1.1 Dividends receivables. 1.1.2 Dividends receivables. 1.1.3 Dividends receivables. 1.1.4 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 1.1.5 I. Short Term Loan 10 Employees. Loans are Secured and Due for repayment within 12 months 96,753 0 96,753 1.1.6 Receivables other than trade receivables 1.1.6 Receivables from clearing house or securities exchange(s) 1.1.6 Receivables from clearing house or securities exchange(s) 1.1.6 Receivables from clearing house or securities exchange(s) 1.1.7 Receivables from clearing house or securities exchange(s) 1.1.8 Receivables on entitlements against trading of securities in all markets including MtM gains. 1.1.9 Receivables on entitlements against trading of securities in all markets including MtM gains. 1.1.7 Receivables from customers 1.1.8 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Halicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based halicut. 1. Lower of net balance sheet value or value determined through adjustments. 1. Incase receivables are against margin frading, 5% of the net balance sheet value. 1. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, 1. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 2. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based halicuts, (i) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applyi			2,369,049	2,369,049	
1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 1.15 I. Short ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months 96,753 0 96,753 ii. Receivables other than trade receivables 2,542,008 2,542,008 2,542,008 1.10 Receivables from Clearing house or securities exchange(s) 1.10 Value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers 1.18 I. Receivables on entitlements against trading of securities in all markets including MtM gains. 1.19 Receivables on entitlements against trading of securities in all markets including MtM gains. 1.10 Receivables on entitlements against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 1. Lower of net balance sheet value or value determined through adjustments. 1. Lower of net balance sheet value or value determined through adjustments. 1. Lower of net balance sheet value or value determined through adjustments. 1. In case receivables are against reargin trading, 5% of the net balance sheet value. 1. Lower of net balance sheet value or value determined through adjustments. 1. In case receivables are against reargin trading, 5% of the net balance sheet value. 1. Lower of net balance sheet value or value determined through adjustments. 1. In the amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral by the finance (iii) iii. Net amount after deducting haircut iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1. Joy8,886 0 1,098,886 0 1,098,886 0	1.12				
Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments) 1.5 In Short Ferm Loan To Employees. Loans are Secured and Due for repayment within 12 months 96,753 0 96,753 1. Short Ferm Loan To Employees. Loans are Secured and Due for repayment within 12 months 96,753 1. Receivables other than trade receivables 1. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MIM gains. 1. Receivables from clearing house or securities exchange(s) 1. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MIM gains. 1. Receivable on entitlements against trading of securities in all markets including MIM gains. 3. 858,876 0 3.858,876 1. Receivables from customers 1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying vAR based haircut. 1. Lower of net balance sheet value or value determined through adjustments. 1. Lower of net balance sheet value or value determined through adjustments. 1. In case receivables are against margin trading, 5% of the net balance sheet value. 1. Net amount after deducting haircut 1. Net amount after deducting haircut 1. Net amount after deducting haircut 1. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut 1. Net amount after deducti					
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 2,542,008 2					
1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 96,753 0 96,753 ii. Receivables other than trade receivables 2,542,008 2,542,008 2,542,008 1.16 Receivables from Clearing house or securities exchange(s)		Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
ii. Receivables other than trade receivables 1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from Customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral after applying VAR based haircuts. 1.18 Cash and Bank balances 1.28 An Bank balance-customer accounts 1.406,545 0 17,314	1.15		00.752	0	06.752
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all 0 0 0 markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 3,858,876 0 3,858,876 0 3,858,876 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Bank balance-customer accounts iii. Bank balance-customer accounts iii. Bank balance-customer accounts iii. Bank balance-customer accounts	1.15	n. short term coan to employees: coans are secured and bue for repayment within 12 months	96,753	0	96,753
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, ii. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Bank balance-customer accounts iii. Bank balance-customer accounts iii. Bank balance-customer accounts			2,542,008	2,542,008	
markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iiii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 16,601,855 0 16,601,855	1.16				
ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 0 17,314,228 0 17,314,228 0 16,601,855			0	0	
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances ii. Bank Balance-proprietory accounts iii. Bank balance-customer accounts f6,601,855 0 16,601,855		ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	3,858,876	0	3,858,876
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts iii. Bank balance-customer accounts 17,314,228 17,314,228 17,314,228 17,314,328 17,314,328 17,314,328 17,314,328 17,314,328 17,314,328 17,314,328	1.17				
market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 16,601,855 0 16,601,855					
ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 16,601,855 0 16,601,855		market value of any securities deposited as collateral after applying VaR based haircut.			
iii. Net amount after deducting haircut iiii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iiii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 iii. Bank balance-customer accounts 16,601,855 0 16,601,855					
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 iii. Bank balance-customer accounts 0 16,601,855 0 16,601,855		9 9 9			
iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 iii. Bank balance-customer accounts 0 16,601,855 0 16,601,855					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 iii. Bank balance-customer accounts 0 16,601,855 0 16,601,855					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 iii. Bank balance-customer accounts 0 16,601,855 0 16,601,855		iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	1,098,886	0	1,098,886
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 iii. Bank balance-customer accounts 16,601,855 0 16,601,855			A 400 E4E	4 DE4 275	4 054 275
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 17,314,228 0 17,314,228 iii. Bank balance-customer accounts 0 16,601,855 0 16,601,855			4,400,045	4,054,375	4,054,575
vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Bank balance-customer accounts		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 17,314,228 0 17,314,228 ii. Bank balance-customer accounts 16,601,855 0 16,601,855					
i. Bank Balance-proprietory accounts 17,314,228 0 17,314,228 ii. Bank balance-customer accounts 16,601,855 0 16,601,855	1.18				
		i. Bank Balance-proprietory accounts			
1 100 L 200 ID D2DG		ii. Bank balance-customer accounts iii. Cash in hand	16,601,855 16,669	0	

Liquid Capital Statement

for the month of **31-OCT-17**

of Ms. MGM Securities (Pvt) Ltd

Submission Date 14-NOV-2017 17:30:03

Page 2 Of 3

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	76,274,972	21,885,262	58,091,915
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	27,073,633	0	27,073,633
2.2	Current Liabilities	21,013,033	U	21,013,033
	i. Statutory and regulatory dues	192,824	0	192,824
	ii. Accruals and other payables	:==,==:		
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts	0	-	
	viii. Provision for taxation	830,778	0	830,778
2.3	ix. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities	403,411	0	403,411
2.3				
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing	1,852,417	0	1,852,417
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	, ,			
2.5	Total Liabilites	30,353,063	0	30,353,063
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	Net underwriting Commitments			
0.0	(a) in the case of right issuse: if the market value of securities is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
2.5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			
•				

Liquid Capital Statement

for the month of **31-OCT-17**

of M/s. MGM Securities (Pvt) Ltd

Submission Date 14-NOV-2017 17:30:03

Page 3 Of 3

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of	0	182,385	182,385
2.0	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.			·
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
3.10	extent not already met Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
3.11	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts. Total Ranking Liabilities	0	182,385	182,385
3.12	Liquid Capital	45,921,909	21,702,877	27,556,467